

AO 440 (Rev. 06/12; DC 3/15) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

District of Columbia



SECURITIES AND EXCHANGE COMMISSION,

Plaintiff(s)

v.

BINANCE HOLDINGS LIMITED,
BAM TRADING SERVICES INC.,
BAM MANAGEMENT US HOLDINGS INC., AND
CHANGPENG ZHAO,

Defendant(s)

Civil Action No. 23-cv-01599

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address)

Binance Holdings Limited
Sertus Chambers, Governors Square, Suite #5-204
23 Lime Tree Bay Avenue
P.O. Box 2547
Grand Cayman, KY1-1104, Cayman Islands

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Matthew Scarlato
Jennifer L. Farer
J. Emmett Murphy
U.S. SECURITIES AND EXCHANGE COMMISSION
100 F Street N.E.
Washington, D.C. 20549

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.



ANGELA D. CAESAR, CLERK OF COURT

/s/ Simone Logan

Signature of Clerk or Deputy Clerk

Date: 06/07/2023

Civil Action No. \_\_\_\_\_

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* \_\_\_\_\_  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
\_\_\_\_\_ on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
\_\_\_\_\_, a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
\_\_\_\_\_ on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify)*:

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_ 0.00 \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

\_\_\_\_\_  
*Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

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BAM TRADING SERVICES INC.,
BAM MANAGEMENT US HOLDINGS INC., AND
CHANGPENG ZHAO,

Defendant(s)

Civil Action No. 23-cv-01599

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address)

BAM TRADING SERVICES INC.
C/O CORPORATION SERVICE COMPANY
1090 VERMONT AVE. NW
WASHINGTON, DC 20005

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Matthew Scarlato
Jennifer L. Farer
J. Emmett Murphy
U.S. SECURITIES AND EXCHANGE COMMISSION
100 F Street N.E.
Washington, D.C. 20549

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ANGELA D. CAESAR, CLERK OF COURT

/s/ Simone Logan

Signature of Clerk or Deputy Clerk

Date: 06/07/2023

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Date: \_\_\_\_\_

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*Server's signature*

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*Printed name and title*

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BAM MANAGEMENT US HOLDINGS INC., AND
CHANGPENG ZHAO,

Defendant(s)

Civil Action No. 23-cv-01599

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address)

BAM MANAGEMENT US HOLDINGS INC.
C/O CORPORATION SERVICE COMPANY
1090 VERMONT AVE. NW
WASHINGTON, DC 20005

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Matthew Scarlato
Jennifer L. Farer
J. Emmett Murphy
U.S. SECURITIES AND EXCHANGE COMMISSION
100 F Street N.E.
Washington, D.C. 20549

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.



ANGELA D. CAESAR, CLERK OF COURT

/s/ Simone Logan

Signature of Clerk or Deputy Clerk

Date: 06/07/2023

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Date: \_\_\_\_\_

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CHANGPENG ZHAO,

Defendant(s)

Civil Action No. 23-cv-01599

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address)

Changpeng Zhao  
Touth 2 Tigne Street  
Fort Cambridge, Flat 1002  
1000 Sliema, Malta

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Matthew Scarlato  
Jennifer L. Farer  
J. Emmett Murphy  
U.S. SECURITIES AND EXCHANGE COMMISSION  
100 F Street N.E.  
Washington, D.C. 20549

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\_\_\_\_\_, a person of suitable age and discretion who resides there,  
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\_\_\_\_\_ on *(date)* \_\_\_\_\_; or

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*Printed name and title*

\_\_\_\_\_  
*Server's address*

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